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Date

Jamie Beyor
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

James T. FULLER

Serial No.: 09/421,778

Art Unit: Q. Nguyen

Filing Date: 19 October 1999

Examiner: 1632

Title: MINIMAL PROMOTERS AND USES THEREOF

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(c)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. The information includes:

United States Patent No. 4,945,050, to Sanford, issued July 31, 1990;

United States Patent No. 5,100,792, to Sanford et al., issued March 31, 1992;

United States Patent No. 5,120,657, to McCabe et al., issued June 9, 1992;

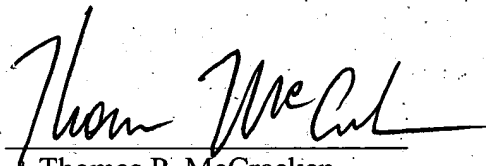
United States Patent No. 5,149,655, to McCabe, issued Sept. 22, 1992;
United States Patent No. 5,204,253, to Sanford, issued April 20, 1993;
United States Patent No. 5,584,807, to McCabe, issued Dec. 17, 1996;
United States Patent No. 5,589,466, to Felgner et al., issued Dec. 31, 1996;
United States Patent No. 5,630,796, to Bellhouse et al., issued May 20, 1997;
United States Patent No. 5,865,796, to McCabe, issued Feb. 2, 1999;
United States Patent No. 6,168,587, to Bellhouse et al., issued Jan. 2, 2001;
United States Patent No. 6,194,389, to Johnston et al., issued Feb. 27, 2001;
International Publication No. WO 89/01036, published Feb. 9, 1989;
International Publication No. WO 94/24263, published Oct. 27, 1994;
International Publication No. WO 96/12513, published May 2, 1996;
International Publication No. WO 96/14855, published May 23, 1996;
International Publication No. WO 96/20022, published July 4, 1996;
International Publication No. WO 96/31613, published Oct. 10, 1996;
International Publication No. WO 97/48485, published Dec. 24, 1997;
International Publication No. WO 98/10750, published March 19, 1998;
International Publication No. WO 98/20041, published May 14, 1998;
International Publication No. WO 98/37185, published August 27, 1998;
Fynan et al. (1993) *Proc. Natl. Acad. Sci. USA* 90:11478-11482;
Fynan et al. (1995) *Int. J. Immunopharmacology* 17:79-83;
Pertmer et al. (1995) *Vaccine* 13:1427-1430;
Hormann et al. (1996) *Proc. Natl. Acad. Sci. USA* 93:5185-5190;
Boehm Waltraud et al. (1996) *J. Immunological Methods* 193:29-40;
Johnston et al. (1997) *Vaccine* 15:808-809;
Swain et al. (1997) *Behring Institute* 98:73-78; and
Mohammadi et al. (1998) *Gene Therapy* 5:76-84.

This Information Disclosure Statement under 37 CFR § 1.97(c) is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

As applicant has received a first Action on the merits, the fee pursuant to 37 C.F.R. §1.17(p) is believed to be required for filing this Disclosure Statement and is being paid with the submission of this Statement. If, for any reason, additional fees are found to be required in connection with this submission, our Deposit Account No. 50-0828 may be charged therefor.

Respectfully submitted,

By:


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